

Section	Concepts Covered	Number of Questions	Percentage of Exam
Knowledge of Capital Markets	Issuing securities, the secondary market, economic and monetary policy	12	16.0%
Understanding Different Products and Associated Risks	Equity securities, debt securities, types of bonds, managed products, options, and suitability	33	44.0%
Understanding Initial Trading, Customer Accounts, and Prohibited Activities	Issuing securities, the secondary market, customer accounts, and tax-advantaged accounts	23	30.7%
Overview of Regulatory Framework	Finra registration and business conduct rules	7	9.3%
Total		75	100.0%

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