

# 75 SIE FINRA Practice EXAM Questions and Answers

UTMA Accounts are opened under the tax ID of the:

- A. Minor
- B. Donor
- C. Parent
- D. Custodian >>> **A. Minor**

A customer buys 1 ABC Jan 35 put for a premium of \$3 and simultaneously buys 100 shares of ABC stock for \$35 per share. The customer will break even when the stock is selling at what price per share at expiration?

- A. \$3
- B. \$32
- C. \$35
- D. \$38 >>> **D. \$38**

The owner of which of the following products is MOST exposed to inflationary risk?

- A. Utility Stocks
- B. Treasury bills
- C. Treasury Bonds
- D. Blue Chip Industrials >>> **C. Treasury bonds**

If a market maker posts a quote of 10.00 - 10.10 [25x10], which of the following actions is the market maker willing to take?

- A. Sell 1,000 shares at \$10.00 and buy 2,500 shares at \$10.10
- B. Buy 2,500 shares at \$10.00, and sell 1,000 shares at \$10.10
- C. Sell 100 shares at \$10.00 and buy 250 shares at \$10.10
- D. Buy 250 shares at \$10.00 and sell 100 shares at \$10.10 >>> **B. Buy 2,500 shares at \$10.00 and sell 1,000 shares at \$10.10**

Direct Participation Programs (DPPs) provide:

- A. Liquidity and transparency
- B. Exposure to non-correlated assets with steady returns
- C. Market-related correlations with higher average returns
- D. Investors with direct purchases of stock from public companies >>> **B. Exposure to non-correlated assets with steady returns**

Stability in the value of a debt portfolio is greatest when:

# Finra S2sample Questions

**L Reisser**



**Finra S2sample Questions:**

## **Finra S2sample Questions** Book Review: Unveiling the Power of Words

In a global driven by information and connectivity, the energy of words has be more evident than ever. They have the capacity to inspire, provoke, and ignite change. Such could be the essence of the book **Finra S2sample Questions**, a literary masterpiece that delves deep in to the significance of words and their effect on our lives. Compiled by a renowned author, this captivating work takes readers on a transformative journey, unraveling the secrets and potential behind every word. In this review, we will explore the book is key themes, examine its writing style, and analyze its overall affect readers.

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