

CFA Kaplan Mock Questions and Answers

2022/2023

Watson's excessive drinking is unfortunate, but we have no evidence that it has affected his work, professional integrity, judgment, or reputation. If he commits an act involving fraud or dishonesty, he would violate the Standard on misconduct. (Module 3.2)

Reliable Wealth Managers wants to present a GIPS-compliant performance presentation and reference its GIPS compliance in marketing materials. Reliable is least likely required to:

- A) claim compliance with GIPS only if it has a compliant performance history of at least five years.
- B) apply GIPS compliance firmwide and not only to the specific asset classes mentioned in the marketing materials.
- C) include each discretionary fee-paying account in a composite based on its investment objectives and/or strategies. - ANSWER A)

GIPS require a firm to show a GIPS-compliant history for a minimum of five years, or since inception of the firm or the composite if in existence for less than five years. If Reliable has been in business for less than five years, it may still claim compliance with GIPS on a since-inception basis, provided the firm follows all other aspects of compliance. The other choices are requirements for a firm to claim compliance with GIPS.

Peter Taylor, a CFA charterholder and a food industry analyst for a large investment firm, has been invited by Sweet Pineapple Co. to visit the firm's processing plants in Hawaii. The Standard concerning independence and objectivity recommends that Taylor:

- A) use and pay for commercial transportation, if available.
- B) obtain written permission from his employer before he accepts this invitation.
- C) decline this invitation if he issues recommendations on the firm's securities. - ANSWER A)

The recommended procedures for compliance with Standard I(B) Independence and Objectivity include the recommendation that analysts on company visits pay their own travel expenses and use commercial transportation if it is available. (Module 3.1)

Kaplan Mock Exam Papers

Lester Wertheimer



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Structures Mock Exam Aec Education Kaplan,2004 Prepare for the difficult structural tests with the most comprehensive Mock Exams available Each Mock Exam contains multiple choice questions in the style of the actual exam and covers every aspect likely to be tested The questions are contained in a booklet and the answers in a separate booklet Each answer is fully explained so that candidates will understand why that answer is correct This Mock Exam in preparation for the General Structures test consists of 135 questions in a 43 page booklet with detailed answers in a separate 36 page booklet

Exam Questions and Answers Kaplan AEC Education,2004 This is one of the most popular books we have ever published It consists of over 200 simulated examination questions covering every aspect of architecture and is arranged alphabetically by subject The questions are presented in the multiple choice format and a complete explanation and analysis of each answer is included Also included are a discussion of question types exam strategy and other helpful information

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Structural Video Workshop Kaplan Publishing,2004-02 This exclusive travel guide guides the visitor through the most incredible activities to be found in Shanghai savour the food of world class chefs in Asia s most romantic two seater salon eat at the best holes in the walls and discover local street food haunts find the best tailors and quality cashmere satins and brocades by the yard expert *Lateral Forces Video Workshop* Kaplan Publishing,2004-02 This exclusive travel guide guides the visitor through the most incredible activities to be found in Shanghai savour the food of world class chefs in Asia s most romantic two seater salon eat at the best holes in the walls and discover local street food haunts find the best tailors and quality cashmere satins and brocades by the yard expert **Architectural History** Lester Wertheimer,2004 This course by Lester Wertheimer FAIA deals with the ideas and examples which have established the architectural tradition of the Western world Although there is no written test specifically on this subject architectural history questions are included in many sections of the exam The seven lessons review the theories influences characteristics and major monuments from

ancient times through the 20th century including Ancient architecture Greek and Roman architecture Medieval architecture Renaissance architecture Roots of modern architecture American architecture 20th century architecture Checklist of significant 20th century structures The course is attractively illustrated with numerous sketches plans and diagrams and enhanced by a glossary bibliography and an extensive index A short reviewquiz follows each lesson and the course concludes with a major examination Complete explanations of all quiz and examination answers are included **Score Higher on the UKCAT** Brian Holmes,Marianna Parker,Katie Hunt,2016 One test stands between you and a place at the medical school of your dreams the UKCAT exam This book offers complete expert preparation with more practice questions than any other text invaluable tips strategies and advice

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